



SECURITIES LLC

"Helping Build Wealth"® Member FINRA/SIPC

Subsequent Trade Slip

3355 Cochran St. Ste. 100, Simi Valley, CA, 93063 • Tel (800) 473-3856 • Fax (805) 584-2230

Representative Information

Rep name : _____

Rep number : _____

Rep Signature : _____

Date : _____

Investment Information

Solicited Unsolicited

Customer name : _____

Fund company name : _____

Account registration type : _____

Fund acct # / VA contract # : _____

Products / Funds : _____

Delivery Method : Mail Fax Courier Other: _____

Transaction Type : Buy Sell

Exchange To : _____ From: _____

Transaction Amount : _____

Method of payment : Transfer Phone Online Check # _____

Date sent : _____

Home Office Use Only

Date received : _____

Method received : _____

Principal signature : _____